



Cooperative Catalysis

Urea/Transition-Metal Cooperative Catalyst for *anti-***Selective Asymmetric Nitroaldol Reactions****

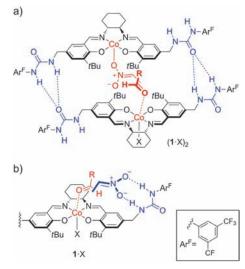
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Dedicated to Professor Eun Lee on the occasion of his 65th birthday

Simultaneous activation of two reaction partners in a welldefined spatial arrangement is a common theme in enzyme catalysis. This nature-inspired concept of cooperative activation has emerged as a new trend in the design of highly efficient asymmetric catalysts.^[1] A number of bimetallic catalysts, [2] bifunctional organocatalysts, [3] and bifunctional metal catalysts^[4] that demonstrate excellent performance have been developed. Among them, bifunctional catalysts often feature an acidic site (Lewis acid or H-bond-donor unit), which is tethered to a base. Nonetheless, examples of an alternative design in which a Lewis acid and an H-bond donor are tethered are quite rare.^[5]

Asymmetric nitroaldol (Henry) reactions^[6-8,9a,b,10] have drawn much attention as important carbon-carbon bondforming reactions. Several bimetallic and bifunctional catalysts exhibited high enantioselectivity in Henry reactions with nitromethane. However, asymmetric Henry reactions with nitroalkanes other than nitromethane proved to be more challenging, [7,8] and often suffered from slow reaction rates and poor diastereoselectivity. Particularly, anti diastereoselectivity is difficult to achieve, [8] which could be attributed to the fact that a metal-chelation transition state would favor a syn diastereomer.[8g] Thus, an open antiparallel transition state was strategically pursued for anti-selective Henry reactions.^[8a-c] Recently, the research groups of Ooi and Shibasaki have independently reported highly anti-selective catalysts that enable an antiparallel transition geometry by double H-bonding[8d,e] and a heterobimetallic scaffold,[8f,g] respectively.

With the aim to design dual activation catalysts, we previously developed base-tethered copper catalysts^[9a] and self-assembled dinuclear cobalt catalysts through aminopyridine/pyridone H-bonding interactions. [9b] Recently, we devised second-generation self-assembled catalysts that feature urea-urea H-bonding, and such [(bisurea-salen)Co] catalysts showed significant rate acceleration in bimetallic transformations. [9c] During the course of the study, we were intrigued by the possibility that the [(bisurea-salen)Co] catalyst might enable the antiparallel transition state for the Henry reaction, [10] either by bimetallic dual activation or by H-bond/metal bifunctional activation (Scheme 1). Herein we report highly enantio- and anti-diastereoselective Henry reactions that are catalyzed by the [(bisurea-salen)Co] catalysts 1.X. The cooperative activation by H-bonds of urea and the Lewis acidic metal center is suggested by preliminary studies.



Scheme 1. a) Bimetallic (self-assembled) and b) monometallic (bifunctional) activation by [(bisurea-salen)Co] catalysts.

[(Bisurea-salen)Co] catalysts (1·X) were optimized with the diastereoselective Henry reaction with nitroethane (3a) at -70 °C (Table 1). The metal oxidation state proved to be pivotal, and a Co^{III}-based catalyst (X = OTs) significantly improved the reaction yield, the anti/syn diastereomeric ratio, and the enantioselectivity (Table 1, entry 1 versus entry 2). The reaction conditions were then further optimized with regard to solvent, base, and counterion. Higher anti selectivity was observed with methyl tert-butyl ether (MTBE) as solvent and N-ethylpiperidine (EtPip) as base. Although both toluene-p-sulfonate (OTs) and 3,5-bis(trifluoromethyl)benzoate (OBzF) gave excellent stereoselectivity with 2a (Table 1, entries 7 and 8), OBzF was selected from further screening with 4-fluorobenzaldehyde (2b).[11] Note that an excellent anti diastereoselectivity (48:1) and enantioselectivity (96% ee) were achieved under the optimized conditions (Table 1, entry 8).

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Table 1: Optimization of reaction conditions.[a]

 $1 \cdot X (Ar^F = 3,5 \cdot (CF_3)_2 C_6 H_{3})$

| Entry | X^- | Base | Solvent | Yield [%] ^[b] | d.r. ^[c] | ee [%] ^[d] |
|-------|------------------|---------|---------------------------------|--------------------------|---------------------|-----------------------|
| 1 | _ | DIPEA | CH ₂ Cl ₂ | 49 | 4:1 | 53/18 |
| 2 | OTs | DIPEA | CH_2Cl_2 | 71 | 8:1 | 92/59 |
| 3 | OTs | DIPEA | MTBE | 68 | 11:1 | 87/58 |
| 4 | OTs | Et_3N | CH_2Cl_2 | 88 | 13:1 | 90/59 |
| 5 | OTs | Et_3N | MTBE | 82 | 18:1 | 93/73 |
| 6 | OTs | EtPip | CH_2Cl_2 | 83 | 24:1 | 95/82 |
| 7 | OTs | EtPip | MTBE | 81 | >50:1 | 93/n.d. |
| 8 | OBz ^F | EtPip | MTBE | 84 | 48:1 | 96/n.d. |

[a] All reactions were performed on a 0.25 mmol scale of aldehyde with $1 \cdot X$ (5 mol%) and nitroethane (10 equiv) in solvent (0.1 mL) at -70 °C. [b] Yields of isolated products. [c] Determined by ¹H NMR spectroscopy. [d] ee values of anti/syn diastereomers determined by HPLC analysis on a chiral stationary phase. DIPEA = N,N-diisopropylethylamine, n.d. = not determined. The entry in bold marks the optimized reaction conditions.

The optimized catalyst 1·OBzF was first applied to enantioselective Henry reactions with nitromethane (Table 2). Excellent enantioselectivities (94-97% ee) and good yields (82-99%) were observed with variously substituted benzaldehydes (Table 2, entries 1-11). Furthermore, high enantioselectivities (91-92% ee) and good yields (88-90%) were also observed with an α,β -unsaturated aldehyde

Table 2: Enantioselective Henry reactions with nitromethane. [a]

| Entry | R | t [h] | Yield [%] ^[b] | ee [%] ^[c] |
|-------------------------|--|-------|--------------------------|-----------------------|
| 1 | 2-MeO-C ₆ H ₄ (2 a) | 20 | 99 | 97 |
| 2 | 2-Cl-C ₆ H ₄ (2 c) | 24 | 94 | 95 |
| 3 | $2-F-C_6H_4(2d)$ | 24 | 99 | 96 |
| 4 | 1-naphthyl (2e) | 24 | 99 | 97 |
| 5 | 3-F-C ₆ H ₄ (2 f) | 24 | 88 | 95 |
| 6 | 4-F-C ₆ H ₄ (2 b) | 24 | 85 | 94 |
| 7 | 4-Cl-C ₆ H ₄ (2 g) | 24 | 87 | 95 |
| 8 | 4-Ph-C ₆ H ₄ (2 h) | 18 | 95 | 96 |
| 9 ^[d] | 4-MeO-C ₆ H ₄ (2 i) | 30 | 82 | 94 |
| 10 | 4-benzodioxole (2j) | 24 | 86 | 95 |
| 11 | $C_6H_5(2k)$ | 24 | 85 | 97 |
| 12 | (<i>E</i>)-Ph-CH=CH (21) | 30 | 88 | 92 |
| 13 | BnOCH ₂ CH ₂ (2 m) | 24 | 90 | 92 |
| 14 ^[d] | PhCH ₂ CH ₂ (2 n) | 18 | 89 | 91 |

[a] Reactions were performed on a 0.25 mmol scale of aldehyde with 1.OBz^F (5 mol%) and nitromethane (10 equiv) in CH₂Cl₂ (0.3 mL) at −70 °C. [b] Yields of isolated products. [c] Determined by HPLC analysis on a chiral stationary phase. [d] Reaction was run at -50 °C. Bn = benzyl. (Table 2, entry 12) and linear aliphatic aldehydes (Table 2, entries 13 and 14).

Catalyst 1·OBz^F generally gave excellent enantioselectivities (90–98% ee for anti product) and good yields (80–94%) in the Henry reactions with nitroethane (3a; Table 3).

Table 3: Scope of diastereoselective Henry reactions. [a]

$$\begin{array}{c} O \\ R \\ H \end{array} + \\ \begin{array}{c} O \\ NO_2 \\ \hline \\ N-\text{ethylpiperidine (50 mol\%)} \\ \hline \\ MTBE, -70^{\circ}\text{C, 18-48 h} \\ \end{array} \\ \begin{array}{c} OH \\ R \\ \hline \\ NO_2 \\ anti \end{array} + \\ \begin{array}{c} OH \\ R \\ \hline \\ NO_2 \\ syn \end{array}$$

| Entry | R | <i>t</i> [h] | Yield [%] ^[b] | d.r. ^[c] | ee [%] ^[d] |
|------------------|--|--------------|--------------------------|---------------------|-----------------------|
| 1 | 2-MeO-C ₆ H ₄ (2 a) | 24 | 84 | 48:1 | 96/nd |
| 2 | 2-BnO- $C_6H_4(20)$ | 24 | 85 | 11:1 | 96/76 |
| 3 | 2-Cl-C ₆ H ₄ (2 c) | 20 | 89 | 10:1 | 90/74 |
| 4 | 2-F-C ₆ H ₄ (2 d) | 24 | 90 | 8:1 | 94/82 |
| 5 | 3-F-C ₆ H ₄ (2 f) | 24 | 82 | 1.8:1 | 95/85 |
| 6 ^[e] | $3-MeO-C_6H_4(2p)$ | 24 | 95 | 2.0:1 | 99/87 |
| 7 | 4-F-C ₆ H ₄ (2 b) | 18 | 87 | 2.5:1 | 98/85 |
| 8 | 4-Ph-C ₆ H ₄ (2 h) | 24 | 86 | 2.4:1 | 97/86 |
| 9 | C_6H_5 (2k) | 48 | 94 | 1.6:1 | 97/82 |
| 10 | BnOCH ₂ CH ₂ (2 m) | 24 | 89 | 1.1:1 | 96/90 |
| 11 | Me ₂ C=CH (2 q) | 30 | 80 | 9:1 | 98/65 |
| 12 | (E)-Ph(Me)C=CH (2 r) | 36 | 82 | 4:1 | 97/90 |

[a] Reactions were performed on a 0.25 mmol scale of aldehyde with 1.OBz^F (5 mol%) and nitroethane (10 equiv) in MTBE (0.1 mL) at -70°C. [b] Yields of isolated products. [c] Ratio of anti:syn determined by ¹H NMR spectroscopy. [d] ee values of anti/syn diastereomers, determined by HPLC analysis on a chiral stationary phase. [e] Reaction was run at -50°C.

However, the anti diastereoselectivity varies with the substrate. While high anti selectivities were obtained with benzaldehydes with an ortho substituent (Table 3, entries 1– 4), anti selectivity significantly decreased with benzaldehydes that lack *ortho* substitution (d.r. $\approx 2:1$; Table 3, entries 5–9). Interestingly, a similar "ortho effect" was observed with nonaromatic aldehydes (Table 3, entries 11 and 12 versus entry 10).

High anti selectivities as well as excellent enantioselectivities were observed for di- or trisubstituted benzaldehydes that bear an ortho-alkoxy substituent (Table 4). Additional substitution at the C-3 (Table 4, entry 1), C-4 (entries 2 and 3), and C-5 positions (entries 4–7) seems to be well tolerated if an ortho-alkoxy group is present. Furthermore, it is possible to use other nitroalkanes, such as TBSOCH₂CH₂NO₂ (3b; Table 4, entries 8–10) and nitropropane (3c; entry 11). To the best of our knowledge, examples of highly anti-selective asymmetric nitroaldol reactions with such 2-alkoxy-containing benzaldehydes are very rare.[12]

The synthetic utility of anti-selective nitroaldol reactions of 2-alkoxy-containing benzaldehydes is demonstrated by the short stereoselective synthesis of (1R,2S)-methoxamine hydrochloride, an α_1 -adrenergic receptor agonist^[13] (Scheme 2). While previous stereoselective syntheses of methoxamine·HCl typically involved diastereoselective reduction of a chiral α-aminoketone, [14] the current method allows the introduction of both stereocenters in a single step with

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Table 4: Henry reactions of substituted benzaldehydes that bear an *ortho*-alkoxy group.^[a]

$$\begin{array}{c} O \\ Ar \\ H \\ \hline \\ As: R^1 = Me \\ \hline \\ 3b: R^1 = CH_2OTBS \\ \hline \\ 2a.i.s-x \\ \hline \end{array}$$

| Entry | Product | | t [h] | Yield $[\%]^{[b]}$ | d.r. ^[c] | ee [%] ^[d] |
|---|---|---|----------------|--------------------|----------------------|---------------------------|
| 1 | O OH NO ₂ | 4 ja | 24 | 96 | 8:1 | 96/80 |
| 2 3 | OMe OH R ² NO ₂ OMe OH | $4 sa$ $(R^2 = Br)$ $4 ta$ $(R^2 = OMe)$ | 24 72 | 94 81 | 15:1 >50:1 | 95/96 90/n.d. |
| 4 5 ^[e] | NO ₂ | 4 ua $(R^3 = F)$ 4 va $(R^3 = OMe)$ | 24 16 | 92 99 | 26:1 24:1 | 96/86 96/76 |
| 6 | O OH NO ₂ | 4 wa | 24 | 96 | 9:1 | 94/n.d. |
| 7 ^[e] | OMe OH | 4 xa | 36 | 95 | 17:1 | 97/n.d. |
| 8 ^[f] 9 ^[f] 10 ^[f] | OMe QH OTBS NO ₂ | $4ab$ $(R^4 = H)$ $4ub$ $(R^4 = F)$ $4vb$ $(R^4 = OMe)$ | 48 42 60 | 88 99 97 | > 50:1 8:1 7:1 | 94/n.d. 93/75 91/76 |
| 11 | OMe OH NO ₂ | 4ac | 36 | 67 | 6:1 | 85/88 |

[a] Reactions were performed on a 0.25 mmol scale of aldehyde with $1\cdot OBz^F$ (5 mol%) and nitroethane (10 equiv) in MTBE (0.1 mL) at $-70\,^{\circ}C$. [b] Yields of isolated products. [c] Ratio of *anti:syn* determined by 1H NMR spectroscopy. [d] *ee* values of *anti/syn* diastereomers, determined by HPLC analysis on a chiral stationary phase. [e] Reaction was run at $-50\,^{\circ}C$. [f] Reaction was run at $-50\,^{\circ}C$ with $3\,^{\circ}b$ (5 equiv) in MTBE (0.5 mL). TBS = *tert*-butyldimethylsilyl.

Scheme 2. Asymmetric synthesis of (1R,2S)-methoxamine·HCl.

excellent stereocontrol (anti:syn = 24:1, 94% ee for anti) by using 5 mol% of the antipode catalyst (S,S)-1·OBz^F.

To elucidate the mechanism of the [(bisurea-salen)Co] catalyzed reaction, a series of experimental studies were performed. Firstly, the NH moiety of urea proved to be crucial for both the reaction rate and stereoselectivity (Table 5). The unfunctionalized [(salen)Co^{III}] catalyst 6·OBz^F and the *N*-

Table 5: Control experiments.

| Entry | Catalyst | Additive | Yield [%] ^[a] | d.r. ^[b] | ee [%] ^[c] |
|------------------|----------------------------|-------------|--------------------------|---------------------|-----------------------|
| 1 | 6 ∙OBz ^F | _ | 30 | 3:1 | 78/81 |
| 2 | 6 ∙OBz ^F | 8 (10 mol%) | 75 | 5:1 | 62/65 |
| 3 | 6 ∙OBz ^F | 8 (50 mol%) | 85 | 5:1 | 62/64 |
| 4 ^[d] | _ | 8 (10 mol%) | 85 | 3:1 | 0/0 |
| 5 | 7 ∙OBz ^F | _ | 14 | 4:1 | 85/82 |
| 6 | 1 ∙OBz ^F | _ | 84 | 48:1 | 96/n.d. |

[a] Yields of isolated products. [b] Ratio of anti/syn determined by ^1H NMR spectroscopy. [c] ee values of anti/syn diastereomers, determined by HPLC analysis on a chiral stationary phase. [d] The reaction does not proceed with N-ethylpiperidine alone at $-70\,^{\circ}\text{C}$.

methyl-functionalized catalyst 7·OBz^F gave nitroaldol product 4aa in much lower yield and stereoselectivity (Table 5, entries 1 and 5), compared to urea-functionalized catalyst 1·OBz^F (Table 5, entry 6). Furthermore, control experiments with varying amounts of exogenous urea additive^[15] 8 show that the reaction is significantly accelerated by the additive (Table 5, entries 1–3). Note that 8 alone (without the Co catalyst) can catalyze the diastereoselective Henry reaction to give the product in 85 % yield and 3:1 d.r. (Table 5, entry 4), thus suggesting that urea 8 is capable to activate the reactants.^[16]

Secondly, when the relationship between the enantiomeric purity of the [(salen)Co] catalysts and that of the Henry reaction product 5a was investigated, an interesting trend was observed (Figure 1). A positive nonlinear effect^[17] was observed for both Co^{II} catalysts (6 and 1), thus suggesting a nonmonomeric active species (Figure 1 a and c), whereas a linear relationship was observed for the corresponding Co^{III} catalysts (6·OBz^F and 1·OBz^F; Figure 1 b and d). Furthermore, while the rate of the reaction 2a→5a was second order with respect to [catalyst] for the unfunctionalized Co^{II} catalyst 6 (rate = $k[\mathbf{6}]^2$), [9b] the corresponding Co^{III} catalyst $\mathbf{6} \cdot \text{OBz}^F$ showed first-order kinetics (rate = $k[\mathbf{6} \cdot OBz^F]$). [18,19] These results suggest that a monometallic pathway is favored in [(salen)Co^{III}]-catalyzed Henry reactions. Our initial attempts to determine the association constant between urea 8 and nitroalkane from ¹H NMR experiments were unsuccessful, ^[11] presumably because of the weak urea/nitroalkane interaction. [20] In sharp contrast, when the pregenerated 2-nitropropanate anion and urea 8 were used in the ¹H NMR titration experiment, a sufficiently high association constant $(K_a = 510 \,\mathrm{M}^{-1})$ was observed in [D₆]-DMSO at 25 °C, which is in good agreement with previous reports.[21] Note that the NMR titration results support the idea that the urea group can interact/activate anionic nitronate nucleophiles. All of

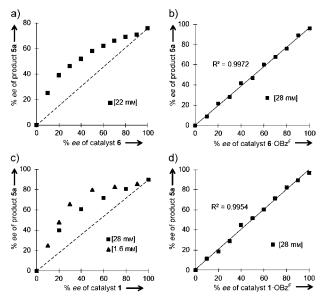


Figure 1. Studies on the (non)linear effect of the reaction of 2a to 5a with [(salen)Co] catalysts by varying the metal oxidation state and the presence of the bisurea functionality. Reactions with a) unfunctionalized Co^{II} catalyst, b) unfunctionalized Co^{III} catalyst, c) bisurea-functionalized Co^{II} catalyst, and d) bisurea-functionalized Co^{III} catalyst.

those observations collectively suggest that the monometallic, bifunctional mechanism is operative for the [(bisurea-salen)Co^{III}] catalyzed nitroaldol reactions in which the cooperative activation is provided by H-bonds of urea^[22,23,24] and the Lewis acidic metal center (Scheme 1b).

In conclusion, a new type of cooperative catalyst that features urea H-bonding and a cobalt center has been developed for anti-selective asymmetric nitroaldol reactions. The urea H-bonds play a crucial role in the dramatic improvement in reaction yield, enantioselectivity, and antidiastereoselectivity. The use of the urea-cobalt bifunctional catalyst successfully extends the substrate scope of antiselective Henry reactions to previously unexplored aldehydes, and the synthetic utility is demonstrated by the concise asymmetric synthesis of (1R,2S)-methoxamine hydrochloride. Further application of this novel concept as well as more detailed mechanistic studies are currently under way in our research group.

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